



# NESHAP 6X Initial Notification



## INITIAL NOTIFICATION FOR NINE METAL FABRICATION AND FINISHING SOURCE CATEGORIES<sup>1</sup> (as amended on November 5, 2019)

This Initial Notification is to meet the requirements of §63.11519(a) of 40 Code of Federal Regulations (CFR), Part 63, Subpart XXXXXX (6X; *National Emission Standards for Hazardous Air Pollutants Area Source Standards for Nine Metal Fabrication and Finishing Source Categories*). Copies of the Federal Register (FR) notices can be found at the links below:

73 FR 43000, July 23, 2008 (<https://www.gpo.gov/fdsys/pkg/FR-2008-07-23/pdf/E8-16263.pdf#page=1>)

More information about NESHAP 6X can be found at the following websites:

- Linn County Public Health: <http://www.linncleanair.org/Content/Regulations/Metal-Fabrication-and-Finishing.aspx>
- Iowa Air Emissions Assistance Program: <https://iwrc.uni.edu/regulatory-information/neshap/metal-fabrication-and-finishing-source-categories-rule>
- Iowa Department of Natural Resources: <http://www.iowadnr.gov/Environmental-Protection/Air-Quality/Air-Toxics-NESHAP>
- US Environmental Protection Agency: <https://www.epa.gov/stationary-sources-air-pollution/metal-fabrication-and-finishing-source-categories-national-emission>

### Due Date

For new affected sources, this Initial Notification is due no later than 120 days after initial startup or November 20, 2008, whichever is later. Sources constructed or reconstructed on or after April 3, 2008, are considered new affected sources.

For existing affected sources, this Initial Notification is due no later than July 25, 2011. Sources constructed or reconstructed before April 3, 2008, are considered existing affected sources.

Sources may also use the application or approval of construction or reconstruction under 40 CFR §63.5(d) to fulfill the Initial Notification requirements.

If you are a new or reconstructed source on or after April 3, 2008, you must also include information required under 40 CFR §63.5(d) and §63.9(b)(5) – the Application for Approval of Construction or Reconstruction. You may use the Application for Approval of Construction or Reconstruction as your Initial Notification (40 CFR §63.5(d)(1)(ii)).

### Name and Address (i.e., physical location) of the Applicable Metal Fabrication and Finishing Source

Print or type the following information for each facility for which you are making this Initial Notification:

Operating Permit Number (OPTIONAL)		Facility ID Number (IF KNOWN)	
<input type="text"/>		<input type="text"/>	
Facility Name			
<input type="text"/>			
Facility Street Address			
<input type="text"/>			
City	State	Zip Code	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Facility Local Contact Name	Title	Phone Number	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
Email Address			
<input type="text"/>			

<sup>1</sup> You are subject to NESHAP 6X if you own or operate an area source that is primarily engaged in the operations of one of the *nine metal fabrication and finishing source categories* outlined in 40 CFR §63.11514(a)(1) through (9).  
[JAK]

**Briefly Describe your Metal Fabrication and Finishing Source**

Facilities that are primarily engaged in one of the following nine metal fabrication and finishing sources are subject to NESHAP 6X. Applicability for NESHAP 6X is based on the facility's primary Standard Industrial Classification (SIC) code. Check the box of that best applies to your facility (the associated SIC code is in parentheses):

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Electrical and electronic equipment finishing operations (3621, 3699) | <input type="checkbox"/> Fabricated structural metal manufacturing (3441)                           | <input type="checkbox"/> Iron and steel forging (3462)                            |
| <input type="checkbox"/> Fabricated metal products not otherwise classified (3499)             | <input type="checkbox"/> Heating equipment, except electric (3433)                                  | <input type="checkbox"/> Primary metal products manufacturing (3399)              |
| <input type="checkbox"/> Fabricated plate work, boiler shops (3443)                            | <input type="checkbox"/> Industrial machinery and equipment finishing operations (3531, 3533, 3561) | <input type="checkbox"/> Valves and pipe fitting, not otherwise classified (3494) |

Facility SIC Code(s): \_\_\_\_\_

Facility NAICS Code(s): \_\_\_\_\_

**NOTE:** If the SIC code for your facility is not present in the list above, you are not subject to NESHAP 6X and do not need to complete this Initial Notification.

**Name and Address of the Owner and the Operator**

Print or type the following information for each facility for which you are making this Initial Notification:

Owner Name		
Owner Address		
City	State	Zip Code
Email Address		
Operator Name (if different than Owner)		
Operator Address (if different than Owner)		
City	State	Zip Code
Email Address		

**Identification of Requirements**

Check the box of each operation at the facility subject to NESHAP 6X. These operations are affected sources under NESHAP 6X only if they use materials that contain or have the potential to emit metal fabrication and finishing hazardous air pollutants (MFHAP)<sup>2</sup>.

- Dry abrasive blasting
- Dry machining
- Dry grinding and dry polishing with machines
- Spray painting
- Welding

Check the box below that best applies to your facility:

- My facility is an existing source subject to NESHAP 6X (initial startup was before April 3, 2008). My facility will be in compliance with this subpart on or before July 25, 2011. I understand I need to submit a Notification of Compliance Status on or before November 22, 2011.  
Startup Date: \_\_\_\_\_
- My facility is a new source subject to NESHAP 6X (initial startup was on or after April 3, 2008). My facility was in compliance with this subpart by July 23, 2008, or upon startup, whichever was later. My Notification of Compliance Status is enclosed with this submittal.  
Startup Date: \_\_\_\_\_

**Submittal of Initial Notification**

Maintain a copy of this Initial Notification for your records. This Initial Notification should be sent to the following address:

\_\_\_\_\_  
 Air Quality Branch  
 Linn County Public Health  
 1020 6<sup>th</sup> Street SE  
 Cedar Rapids, IA 52401  
 Telephone: (319) 892-6000; Fax: (319) 892-6099  
 \_\_\_\_\_

A digital copy of this Initial Notification may be submitted to the e-mail address below so long as the copy submitted is identical to the version retained in the facility records.

\_\_\_\_\_  
[ComplianceReporting-Air@linncounty.org](mailto:ComplianceReporting-Air@linncounty.org)  
 \_\_\_\_\_

**Certification**

I certify the truth, accuracy, and completeness of this notification.

\_\_\_\_\_ (Responsible Party<sup>3</sup> – Signature)

\_\_\_\_\_ (Title) \_\_\_\_\_ (Date)

<sup>2</sup> MFHAP means any compound of the following metals: cadmium (Cd), chromium (Cr), manganese (Mn), nickel (Ni), or any of these metals in elemental form (with the exception of lead).

<sup>3</sup> *Responsible Party* (or Responsible Official) is defined under 40 CFR §63.2 as any of the following: the president, vice-president, secretary, or treasurer of the company that owns the plant; the owner of the plant; the plant engineer or supervisor; a government official if the plant is own by the federal, state, city, or county government; or a ranking military officer if the plant is located on a military installation.